

BIRCH, STEWART, KOLASCH & BIRCH, LLP

INTELLECTUAL PROPERTY LAW

8110 GATEHOUSE ROAD

SUITE 500 EAST

FALLS CHURCH, VA 22042-1210

USA

(703) 205-8000

FAX: (703) 205-8050

(703) 698-8590 (G IV)

e-mail: mailroom@bskb.com

web: http://www.bskb.com

CALIFORNIA OFFICE

COSTA MESA, CALIFORNIA

SCOTT L. LOWE
PAUL C. LEWIS
RICHARD J. GALLAGHER
JAYNE M. SAYDAH*
MARYANNE ARMSTRONG
KECIA J. REYNOLDS
ROBERT L. SCOTT, II
KALPANA REDDY
MARK E. OLDS
ESTHER H. CHONG
CATHERINE M. VOISINET*
EUGENE T. PEREZ

REG. PATENT AGENTS:
FREDERICK R. HANDREN
MAKI HATSUMI
CRAIG A. MCROBBIE
GARTH M. DAHLEN, PH.D.
ROBERT E. GOOZNER, PH.D.
KRISTI L. RUPERT, PH.D.
MATTHEW T. SHANLEY
SUSAN W. GORMAN, PH.D.
DAVID J. CHO
ROBERT W. DOWNS

TERRELL C. BIRCH
RAYMOND C. STEWART
JOSEPH A. KOLASCH
JAMES M. SLATTERY
BERNARD L. SWEENEY*
MICHAEL K. MUTTER
CHARLES GORENSTEIN
GERALD M. MURPHY, JR.
LEONARD R. SVENSSON
ANDREW D. MEIKLE
MARC S. WEINER
JOE MCKINNEY MUNCY
ROBERT J. KENNEY
JOHN W. BAILEY
MARK J. NUELLE, PH.D.
JAMES T. ELLER, JR.
D. RICHARD ANDERSON

OF COUNSEL:
HERBERT M. BIRCH (1905-1996)
ELLIOT A. GOLDBERG*
WILLIAM L. GATES*
EDWARD H. VALANCE
RUPERT J. BRADY (RET.)*
PRINCE BUTLER
FRED S. WHISENHUNT
JAMES W. HELLWEGE
ADMITTED TO A BAR OTHER THAN VA.

Date: December 21, 2001

Docket No.: 2185-0599P-SP

Assistant Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231

Sir:

As authorized by the inventor(s), transmitted herewith for filing
is a patent application applied for on behalf of the inventor(s)
according to the provisions of 37 CFR 1.41(c).

Inventor(s): TAKASHIMA, Masayuki
UEDA, Kazumasa

For: POLISHING PAD, POLISHING APPARATUS AND POLISHING METHOD
USING THE SAME

Enclosed are:

- ☒ A specification consisting of 24 pages
_____ sheet(s) of _____ drawings
_____ Certified copy of Priority Document(s)
☒ Executed Declaration in accordance with 37 CFR 1.64 will follow
_____ Applicant claims small entity status in accordance with 37 CFR 1.27
☒ Preliminary Amendment

- X Information Sheet
- Information Disclosure Statement, PTO-1449 with reference(s)
- Application Data Sheet in accordance with 37 CFR 1.76
- Other _____
- Applicant requests early publication

The filing fee has been calculated as shown below:

LARGE ENTITY				SMALL ENTITY			
FOR	NO. FILED	NO. EXTRA	RATE FEE		RATE FEE		
BASIC FEE	***** ***** *****	***** ***** *****	***** ***** \$740.00 *****	or	**** **** \$370.00 ****		
TOTAL CLAIMS	18 - 20 =	0	x18 =\$ 0.00	or	x 9 = \$ 0.00		
INDEPENDENT	2 - 3 =	0	x84 =\$ 0.00	or	x 42 = \$ 0.00		
MULTIPLE DEPENDENT CLAIM PRESENTED <u>yes</u>			+280 = \$280.00	or	+140 = \$ 0.00		
TOTAL \$1,020.00					TOTAL \$ 0.00		

- X The application transmitted herewith is filed in accordance with 37 CFR 1.41(c). The undersigned has been authorized by the inventor(s) to file the present application. The original duly executed patent application together with the surcharge will be forwarded in due course.
- X A check in the amount of \$1,020.00 to cover the filing fee and recording fee (if applicable) is enclosed.
- The Government Filing Fee will be paid at the time of completion of the filing requirement.
- Please charge Deposit Account No. 02-2448 in the amount of \$_____. A triplicate copy of this transmittal form is enclosed.

1004300 1004300

Send Correspondence to:

BIRCH, STEWART, KOLASCH & BIRCH, LLP or Customer No. 2292
P. O. Box 747
Falls Church, Virginia 22040-0747
Telephone: (703) 205-8000

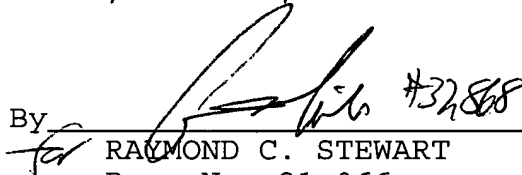
— No fee is enclosed.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. 1.16 or under 37 C.F.R. 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By

 #32868
RAYMOND C. STEWART

Reg. No. 21,066

P. O. Box 747

Falls Church, Virginia 22040-0747

(703) 205-8000
RCS/sll

1004307 122404

IN THE U.S. PATENT AND TRADEMARK OFFICE
I N F O R M A T I O N S H E E T

jce11 U.S. PRO
10/024307
12/21/01

Applicant: TAKASHIMA, Masayuki
 UEDA, Kazumasa

Application No.:

Filed: December 21, 2001

For: POLISHING PAD, POLISHING APPARATUS AND POLISHING METHOD
 USING THE SAME

Priority Claimed Under 35 U.S.C. 119 and/or 120:

COUNTRY	DATE	NUMBER
JAPAN	12/28/00	2000-399684

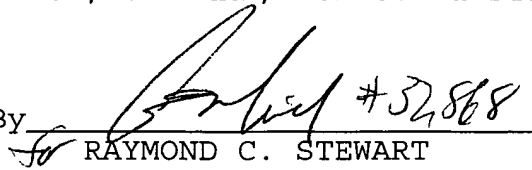
Send Correspondence to: BIRCH, STEWART, KOLASCH & BIRCH, LLP
 P. O. Box 747
 Falls Church, Virginia 22040-0747
 (703) 205-8000

The above information is submitted to advise the USPTO of all relevant facts in connection with the present application. A timely executed Declaration in accordance with 37 CFR 1.64 will follow.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By

 #32868
RAYMOND C. STEWART

Reg. No. 21,066

P. O. Box 747

Falls Church, VA 22040-0747

/sll

(703) 205-8000